

## APPENDIX A

### EXETER CITY COUNCIL AUDIT AND GOVERNANCE COMMITTEE

#### PROGRESS OF 2018/19 AUDIT PLAN AS AT 30/09/18

Audit Area	Budget Days	Actual Days	Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
						High	Med	Low

#### CX & GD

'Deliver good development'  
 'Help me run a successful business'  
 'Make Exeter a stronger city'  
 'Make Exeter a stronger city'  
 'Make Exeter an Analytical City'  
 'Make Exeter energy independent'  
 'Make Exeter congestion free'

Planning	10	11.5	Final	↓	Some Improvement Required	0	7	1
New Homes Bonus	5	0.3						
Section 106 Agreements	5	14.3	Final	No previous audit opinion	Some improvement required	1	7	1

#### Support Services

'A well run Council'

Main Accounting	12	0.6						
Income Management	13	16.5	Draft					
Procurement	15	4.9						
Creditors – Duplicate Payments	25	18.3	Final	↑	Good	0	2	0
Treasury Management	5	9.6	Final	↔	Good	1	4	3
VAT	7	9.1	Final	↔	Some Improvement Required	1	9	1
BACS/CHAP	5	2.1	Draft					
Corporate Governance	8	4	Ongoing					
Equalities and diversity	5	0.6						
Risk Management	15	1.8	On going					
Business Continuity Management	10	0.8						
Information Governance	10	1.7						

Counter Fraud	9	5.4	On going					
Contract Management	24	1.3						
Safeguarding	5	0.7						
Performance Management	10	0.1						
Due Diligence – District Heating schemes	5	4	Final	No audit opinion given	N/A	-	-	-
Hospitality and gift disclosures	2	0.2						

#### Director – Jo Yelland

'Help me live well'

'Help me be active'

ICT/Computers/STRATA	6	0.7						
People Management (incl Payroll)	15	20.4	Draft					
Licensing	6	6.4	Final	No previous audit opinion	Good	0	1	1
Health and safety	10	1.9						
Disabled Facilities Grants	8	10.3	Final	↔	Good	0	3	2

#### Director – David Bartram

'Keep Exeter looking good'

'Fix and maintain our Assets'

CCTV	5	4.4	Final	↔	Good	0	6	1
Homecall	5	8.4	Final	↓	Some Improvement Required	0	8	6
City Centre (BID)	10	6.7	Draft					

#### Director – Jon-Paul Hedge

'Provide great things for me to see and do'

'Keep me informed'

Museum services	6	0.1						
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#### Director – Bindu Arjoon

'Help me with my housing and financial problems'

'Fix and maintain our Housing Assets'

Housing Benefits	15	0						
Council Tax	13	0.2	In progress					
Payments and collection	9	1.9						
Sundry Debtors	8	0.1	In progress					
NDR	10	1.8	In progress					

Housing – Housing development, asset management, HRA	5	0						
Housing Needs	10	10.6	Draft					
Housing – private rented, temp accommodation	15	4.2	In progress					

## Other

RIPA Administration	2	3.2
Contingency (special/frauds) including unplanned work	30	12.4
Follow-ups	8	3
Audit Development – Data Analysis/CAAT development/stakeholder survey	19	3.6
Audit planning and control	46	20.4
NFI	2	2.2
External Audit	2	0.4
<b>Total</b>	<b>470</b>	<b>231.2</b>